

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of

Toshiro HAYAKAWA

Appln. No. 09/840,025

Group Art Unit: 2881

Confirmation No.: 4254

Examiner: Not Yet Assigned

Filed: April 24, 2001

For:

SEMICONDUCTOR LASER MODULE HAVING OPTICAL WAVELENGTH CONVERSION ELEMENT AND SEMICONDUCTOR LASER ELEMENT WHICH INCLUDES QUANTUM-WELL SUBLAYERS HAVING DIFFERENT THICKNESS

AND/OR COMPOSITIONS

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a INFORMATION DISCLOSURE STATEMENT

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request for continued examination (RCE) under §1.114, and therefore, no Statement under

37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant submits the following explanations:

English Language Abstract 10-254001 is submitted herewith as a concise statement

of relevance.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Respectfully submitted.

Darryl Mexic

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Date: August 27, 2001

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